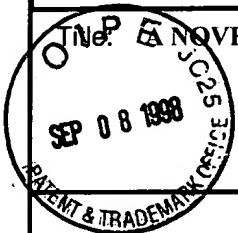


1807 6500

<b>TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT</b> (Under 37 CFR 1.97(b) or 1.97(c))	Docket No. 11373
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In Re Application Of: Tracy Willson et al.

Serial No. 09/051,843	Filing Date April 22, 1998	Examiner to be assigned	Group Art Unit to be assigned
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NOVEL HAEMOPOIETIN RECEPTOR AND GENETIC SEQUENCES ENCODING SAME

Address to:  
Assistant Commissioner for Patents  
Washington, D.C. 20231

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**GROUP 1801**

**37 CFR 1.97(b)**

1. ☒ The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application; within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; or before the mailing date of a first Office Action on the merits, whichever event occurs last.

**37 CFR 1.97(c)**

2. ☐ The Information Disclosure Statement submitted herewith is being filed after three months of the filing of a national application, or the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; or after the mailing date of a first Office Action on the merits, whichever occurred last but before the mailing date of either:
- 1. a Final Action under 37 CFR 1.113, or
  - 2. a Notice of Allowance under 37 CFR 1.311,
- whichever occurs first.

Also submitted herewith is:

- ☐ a certification as specified in 37 CFR 1.97(e);

**OR**

- ☐ the fee set forth in 37 CFR 1.17(p) for submission of an Information Disclosure Statement under 37 CFR 1.97(c).

**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT**  
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.  
11373

In Re Application Of: Tracy Willson et al.

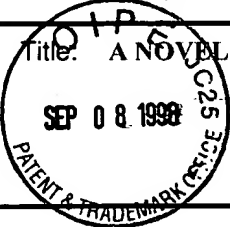
Serial No.  
09/051,843

Filing Date  
April 22, 1998

Examiner  
to be assigned

Group Art Unit  
to be assigned

Title: A NOVEL HAEMOPOIETIN RECEPTOR AND GENETIC SEQUENCES ENCODING SAME



**Payment of Fee**

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- ☐ A check in the amount of \_\_\_\_\_ is attached.
- ☒ The Assistant Commissioner is hereby authorized to charge and credit Deposit Account No. \_\_\_\_\_ as described below. A duplicate copy of this sheet is enclosed.
- ☐ Charge the amount of \_\_\_\_\_
- ☐ Credit any overpayment.
- ☒ Charge any additional fee required.

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**Certificate of Transmission by Facsimile\***


I certify that this document and authorization to charge deposit account is being facsimile transmitted to the United States Patent and Trademark Office (Fax. No. \_\_\_\_\_) on \_\_\_\_\_ (Date)

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**Certificate of Mailing by First Class Mail**

I certify that this document and fee is being deposited on September 4, 1998 with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

  
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Signature of Person Mailing Correspondence

Frank S. DiGiglio  
\_\_\_\_\_  
Typed or Printed Name of Person Mailing Correspondence

\*This certificate may only be used if paying by deposit account.

  
\_\_\_\_\_  
Signature

Dated: September 4, 1998

Frank S. DiGiglio, Reg. No. 31,346  
Scully, Scott, Murphy & Presser  
400 Garden City Plaza  
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(516) 742-4343

CC:

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Nlh

PATENT



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

**Applicants:** Tracy Willson et al.      **Docket:** 11373  
**Serial No.:** 09/051,843      **Dated:** September 4, 1998  
**Filed:** April 22, 1998  
**For:** A NOVEL HAEMOPOIETIN  
RECEPTOR AND GENETIC  
SEQUENCES ENCODING SAME

Assistant Commissioner for Patents  
Washington, DC 20231

INFORMATION DISCLOSURE STATEMENT

Sir:

In accordance with 37 C.F.R. §§ 1.97 and 1.98, it is requested that the following disclosures, which are also listed on the attached Form PTO-1449, be made of record in the above-identified case.

1. N.A. Nicola (1994) *Guidebook to Cytokines and Their Receptors* Oxford University Press: New York, New York.

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CERTIFICATE OF MAILING UNDER 37 C.F.R. § 1.8(a)

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, DC 20231 on September 4, 1998.

Dated: September 4, 1998

  
Frank S. DiGiglio

2. N. Vita et al. (1995) "Characterization and Comparison of the Interleukin 13 Receptor With the Interleukin 4 Receptor on Several Cell Types" *The Journal of Biological Chemistry* 270 (8): 3512-3517;
3. N. Harada et al. (1990) "Expression Cloning of a cDNA Encoding the Murine Interleukin 4 Receptor Based on Ligand Binding" *Proc. Nat'l. Acad. Sci. USA* 87: 857-861;
4. D. Caput et al. (1996) "Cloning and Characterization of a Specific Interleukin (IL)-13 Binding Protein Structurally Related to the IL-5 Receptor  $\alpha$  Chain" *Journal of Biological Chemistry* 271 (28): 16921-16926; and
5. International Publication No. WO 96/11213, dated April 18, 1996, to Amgen Boulder, Inc.

Applicants are also enclosing a copy of a Search Report and an International Preliminary Examination Report issued relative to the counterpart application, International Application No. PCT/AU96/00668, along with copies of the aforementioned disclosures.

Consideration of this Information Disclosure Statement is respectfully requested, since the information provided herewith may be material to the examination of the present application as defined under 35 U.S.C. § 1.56(a).

Inasmuch as this Information Disclosure Statement is being submitted prior to the issuance of an Official Action on the merits, no petition, certification or fee is required.

Respectfully submitted,



Frank S. DiGiglio  
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FSD/XZ:mgl